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## Alan R. Bromberg Curriculum Vitae (CV) & Photo

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## BROMBERG BIOGRAPHICAL DATA AND PUBLICATIONS LIST

Jan 1, 2009

- §1. Name: Alan R. Bromberg
- §2. Main Positions: University Distinguished Professor of Law, Dedman School of Law, Southern Methodist University;  
  
Senior Attorney Consultant, Hunton & Williams, LLP
- §3. Born: November 24, 1928, Dallas, Texas
- §4. Offices: Dedman School of Law, SMU, Dallas, Texas 75275-0116;  
(214) 768-2584; FAX (214) 768-1655  
[abromber@smu.edu](mailto:abromber@smu.edu)  
1445 Ross Avenue, Suite 3200, Dallas, Texas 75202-2799;  
(214) 468-3559; FAX (214) 880-0011  
[abromberg@hunton.com](mailto:abromberg@hunton.com)
- §5. Education: Woodrow Wilson High School, Dallas, 1942-45  
Harvard A.B. 1949 (Mathematics)  
Yale LL.B. 1952 (Law) (redesignated J.D. 1971)
- §6. Admitted to Practice: Supreme Court of Texas 1952  
Tax Court of the U.S. 1959  
Various U.S. Courts of Appeal
- §7. Law Practice: Associate: Carrington, Gowan, Johnson, Bromberg  
and Leeds (Dallas) 1952-56  
Attorney and Consultant to various firms 1956-76  
Of Counsel, Jenkins & Gilchrist, a Professional Corporation (Dallas), and its  
predecessor firms 1976-2007  
Senior Attorney Consultant, Hunton & Williams LLP (Dallas) 2007-
- §8. Other Professional Activities and Memberships:  
  
American Association of University Professors, Member 1959-  
Exec. Comm. SMU Chapter, Member 1962-1963  
Comm. A (Academic Freedom & Tenure), SMU Chapter,  
Member 1967-72; Chairman 1968-70, 1971-72  
  
American Bar Association, Member 1968-  
Section of Business Law, Member, 1968-

- Comm. on LLCs Partnerships and Unincorporated Entities (and prior committees) Member 1969-
- - Subcomm. on Revised Uniform Limited Partnership Act, Member 1984-85
- Comm. on Futures Regulation (formerly Commodities Regulation), Member 1976-
- - Subcomm. on Remedies and Enforcement, Member 1976-79
- Comm. on Federal Regulation of Securities, Member 1969-
- - Subcomm. on General Problems under Securities Exchange Act, Member 1969-71
- - Subcomm. on Civil Liabilities (formerly on Rule 10b-5), member 1971-
- - Subcomm. on Proxy Solicitations and Tender Offers, Member 1977-79
- - Subcomm. on Litigation, Member 1977-78
- Section of Legal Education, Member 1968-
- Section of Taxation, Member 1968-

American Bar Foundation, Life Fellow 1979-

American Judicature Society, Member 1967-

American Law Institute, Elected Member 1967-, Life Member 1992 -

Association of American Law Schools:

- Advisory Comm. on Journal of Legal Education, Member 1960-62
- Business Associations Section, Member 1960-
- Round Table Council, Chairman 1968-70, Member 1968-72
- Comm. on Jurimetrics or the Scientific Investigation of Legal Problems, Member 1960-1962
- Tax Section, Member 1960-

Barristers (SMU, Law student service organization), Member 1975-

Bender (Matthew) & Co., Law School Publications Advisory Board, Member 1977-95; Chairperson 1981-94

California (Univ. of) Securities Regulation Institute  
 Advisory Board, Member 1973-78, 1979-87  
 Executive Committee, Member 1974-75, 1977-78, 1980-81

Dallas Bar Association, Member 1952-

- Comm. on Public Relations, Member 1957-58
- Clinic Comm., Member 1960
- Library Comm., Member 1981-83
- Publications Comm., Member 1958-60
- Comm. on Uniform Partnership Act, Chairman 1959-60 (drafting Texas

**Uniform Partnership Act)**

**Dallas Junior Bar Association, Member 1952-64**

**Derivatives: Tax, Regulation, Finance, Editorial Advisory Board, Member 1995-96**

**Journal of Corporation Law, Editorial Advisory Board, Member 1976-**

**Legal Education Institute (Los Angeles), Advisory Board,  
Member 1975-79**

**National Association of Corporate Directors, Member 1986-97**

**National Tax Assoc. - Tax Institute of America, Member 1956-**

**Review of Securities and Commodities Regulation, Board of Advisory Editors, 1969-**

**Securities Regulation Conference (SEC and Southwestern States)  
Planning Committee, Member 1975**

**Securities Regulation Law Journal, Advisory Board, 1973-**

**SMU Law Review (formerly Southwestern Law Journal), Advisory Board 1978-**

**Southwestern Legal Foundation, Member 1958-**

**Planning Committee, Securities Regulation Symposium, 1968-85;  
Co-Chairman, 1981-85**

**State Bar of Texas, Member 1952-**

**Comm. on Revision of Penal Code, Reporter 1967-71  
(drafting fraud provisions of Texas Penal Code)**

**Section of Business Law (formerly Section of Corporation, Banking and Business  
Law)**

**Council, Member 1963-69; Vice Chairman 1965-67,  
Chairman, 1967-68**

**- Ad Hoc Comm. on Codification of Business Organization Laws,  
Member 1995-**

**- Comm. on Choice of Law, Member 1993-95**

**- Comm. on Corporations, Member 1957-**

**(drafting amendments to Texas Business Corporation Act and  
other corporate statutes)**

**- - Subcomm. on Non-Profit Corporations, Member 1957-59  
(drafting Texas Non-Profit Corporation Act)**

**- Comm. on Information, Member 1961-69, Vice-Chairman**

1965-66

- Comm. on Non-Profit Corporations, Member 1993-95
- Comm. on Partnerships, Member 1974- ; Chairman 1979-81  
(drafting amendments to Texas Uniform Limited Partnership Act; drafting Texas Revised Limited Partnership Act and Texas Revised Partnership Act)
- Comm. on Securities, Member 1957- ; Chairman 1965-69  
(drafting amendments to Texas Securities Act)
- Comm. On Texas Business Organizations Code. 1997-
- Reporter, Texas Securities Act Revision Project, 1981-85
- Standing Comm. on Uniform State Laws, Member 1960-61
- Section on Taxation, Member 1952-2001
- Comm. on Professional Associations, Member 1961-63
- Comm. on Community Property Problems, Member 1961-66

Texas Bar Foundation, Fellow 1966- ; Life Fellow 1975-

Texas Business Law Foundation, Member 1988-

Board of Directors, Member 1988-

Legislative Committee, Member 1991-2009, Co-Chairman 1994-95

Texas Legislative Council, Member Business and Commerce Code

Advisory Comm., 1966-67

§9. CLE Activities:

Lecturer, numerous Bar meetings and institutes, including:

ABA National Institutes on Securities (Washington, D.C.)

and on Commodities (New York)

ALI-ABA/PLI Post Graduate Courts in Securities Law (Haverford)

Continuing Education of the Bar (Univ. of Calif. Extension)

(San Francisco, Los Angeles)

Corporate Counsel Institute (Chicago)

Investment Analysts Society of Chicago

North American Securities Administrators Association

Ohio Legal Center (Columbus)

Practicing Law Institute (New York, Las Vegas, Miami,

Los Angeles, San Francisco)

Southwestern Legal Foundation (Dallas)

State Bar of Texas

Univ. of Arkansas Conference

Univ. of Miami Law Center

Univ. of Texas Conferences

Univ. of California Securities Regulation Institute (San Diego)  
Univ. of Southern California Corporate Law and Finance  
Institute (Los Angeles)

§10. Teaching Positions at Southern Methodist University:

Lecturer (part-time) 1955-56  
Visiting Assistant Professor of Law 1956-57  
Assistant Professor of Law 1957-58  
Associate Professor of Law 1958-62  
Professor of Law 1962-83  
University Distinguished Professor of Law 1983-

§11. Other Positions at Southern Methodist University - Law School:

ABA Report Comm., 1992-94  
Admissions Comm., Member 1956-61, 1998-  
Chair Search Comm., Member 1984-90  
Curriculum Comm., Chairman 1961-66, 1967-70, 1971-72  
Dean Search Comm., Member, 1988-89  
Evening Division Reinstitution Comm., Member 1989-90  
Executive Council, Member 1963-70, 1971-72  
Faculty Affairs Committee for Strategic Planning, Chair 1994-95  
Faculty Activities Comm., Member 1967-68  
Finance Comm., Member 1994-  
Legal Aid Comm., Member 1963-66  
Library Comm., Member 1957-68, 1971-72, 1973-76, 1977-81, 1982-90, 1994-98  
Long Term Planning Group, Member, 1988-1989  
Physical Facilities Comm., 1991-  
Radford Chair Search Comm., 1983-84  
Research Methods/Legal Writing Comm., Member 1984-85  
Southwestern Law Journal, Faculty Advisor 1958-65,  
Advisory Board, Member 1978-  
Various Promotion and Tenure Comms.

§12. Other Positions at Southern Methodist University - University College (undergraduate, interdisciplinary general education)

University College Council, Member 1963-66  
Executive Comm., Univ. College Council, Chairman 1965-66  
Comm. on Logical and Mathematical Concepts, Chairman 1964  
Review Comm. on Mathematics, Chairman 1964-66

§13. Other Positions at Southern Methodist University - at large

Advisory Comm. to Dean of Dedman College on Promotion,  
 Member 1984  
 Board of Trustees, Faculty Representative 1969-70, Nov. 1974  
 - Comm. on Bylaws, Member 1974-75  
 - Comm. on School of Business, Member 1969-73  
 Discipline Comm., Member 1960-64, Vice-Chairman 1962-64  
 Dissertation and Examining Comm. for Ph.D. Candidate,  
 Department of Economics, 1962-64  
 Evaluation of Administrators Comm., 1986-87  
 Faculty Senate, Member 1958-61, 1968-70  
 Executive Comm., Member 1968-70  
 Comm. on Faculty Evaluation, Consultant 1971-72  
 Comm. on Ethics and Tenure, Member 1975-76  
 Comm. on Libraries, 1987-90  
 Institutional Self Study Program, Steering Comm., Member 1967-69  
 McFarlin Project on Public Responsibility of the University,  
 Member Steering Comm. 1964-66  
 Presidential Search Group, 1971-72  
 Program Comm., Graduate Council of the Humanities, Member  
 1960-66, 1967-69  
 Provost's Advisory Comm. on Promotion and Tenure, Member 1997-98  
 Quality of Academic Life Task Force, Member 1984-86  
 Retirement Plan, Trustee 1967-70  
 Review Committees for Promotion and Tenure:  
 Economics Dept. 1973  
 Political Science Dept. 1972 (Chairman)  
 University Comm. to Develop Ph.D. in Religion, Member 1958-59  
 University Planning Council, Member 1968-69  
 Executive Committee, Member 1968-69

§14. Subjects taught - Law School

Principal - Partnerships  
 Corporations  
 Corporate Planning  
 Securities Regulation

Other - Agency  
 Corporate Reorganization  
 Federal Oil and Gas Taxation  
 Law and Psychiatry  
 Legal Writing  
 Research Methods

Taxation  
Taxation and Fiscal Policy  
Trusts

§15. Visits at Other Universities:

Yale Law School Faculty, Senior Fellow 1966-67  
Stanford Law School, Visiting Professor 1972-73

§16. Miscellaneous Services for Other Universities and Institutions:

Outside Evaluator for Promotion or Tenure Candidates:

Florida State Law School 1973  
Washington Univ. (St. Louis) Law School 1974  
Georgetown Law Center 1977  
Georgia State Univ. College of Law 1997  
Univ. of Minnesota Law School 1980  
Rutgers Univ. (Newark) 1981  
Brooklyn Law School 1982  
University of Houston Law Center 1986, 1994, 1996, 1998  
University of Illinois College of Law 1987, 1990  
Vanderbilt School of Law 1987  
Univ. of Maryland School of Law, 1989, 1990  
Univ. of California, Davis, School of Law, 1990  
Univ. of Tennessee, 2005

Lecturer:

Univ. of Miami Law and Economics Center 1978  
Financial Accounting Standards Board 1993

§17. Publications - Books

1. Cases and Materials on Texas Partnerships (SMU Law School 1958, supplemented 1960) (with Byron D. Sher)
2. Supplementary Materials on Texas Corporations (SMU Law School (1959, 1960, 1961, 1962, 1964, 1965, 1971)
- 2A. - revised and condensed as Business Associations Supplement (Stanford Law School 1972, variously paged)
3. Partnership Primer - Problems and Planning (SMU Law School 1961)



4. Materials on Corporate Securities and Finance - A Growing Company's Search for Funds (SMU Law School 1962, 1964, 1965, 1974, 1984)
  
5. Securities Fraud & Commodities Fraud (pre 1979 title: Securities Law: Fraud - SEC Rule 10b-5) (McGraw Hill Book Co. and Shepard's Inc.; vol. 1, 1967, xiii, 377; vol. 2, 1970, vol. 3, 1973, vol. 4, 1977, vol. 5, 1982, vol. 6, 1988, variously paged) and Supplements (co-author since 1979: Lewis D. Lowenfels):
  - #1 (1968, 130 pp.)
  - #2 (1968, 128 pp.)
  - 69-1 (1969, 118 pp.)
  - 69-2 (1969, 103 pp.)
  - 69-3 (1970, 81 pp.)
  - 69-4 (1970, 87 pp.)
  - 70-1 (1970, 130 pp.)
  - 70-2 (1971, 137 pp.)
  - 71 (1972, 286 pp.)
  - 73 (1973, 196 pp.)
  - 74 (1975, 240 pp.)
  - 75 (1976, 346 pp.) (Clark Boardman Callaghan)
  - 77 (1977, 620 pp.)
  - 79 (1979, 518 pp.)
  - 81 (1981, 784 pp.)
  - 82 (1982, 953 pp.)
  - 83 (1983, 648 pp.)
  - 84 (1984, 654 pp.)
  - 85 (1985, 767 pp.)
  - 86 (1986, 691 pp.)
  - 88 (1988, 515 pp.)
  - 89 (1989, 632 pp.)
  - 90 (1990, 840 pp.)
  - 91 (1991, 931 pp.)
  - 92 (1992, 982 pp.)
  - 93 (1993, 903 pp.)
  - 94 (1994, 2127 pp.)
  - 95 (1995, 934 pp.)
  - 96 (1996, 1080 pp.)
  - 97A (Sep 1997, 809 pp.) (West Group)
  - 97B (Dec 1997, 713 pp.)
  - 98A (Jun 1998, 275 pp.)
  - 98B (Sep 1998, 340 pp.)
  - 98C (Nov 1998, 998 pp.)
  - 99A (May 1999, 534 pp.)
  - 99B (Nov 1999, 698 pp.)

00A (Jun 2000, 669 pp.)  
 00B (Nov 2000, 323 pp.)  
 01A (Aug 2001, 318 pp.)  
 01B (Dec 2001, 541 pp.)  
 02A (Sep 2002, 318 pp.) (Thomson West)  
 02B (Dec 2002, 368 pp.)  
 03A (Jun 2003)  
 03B (Dec 2003)  
 04A (Jun 2004)  
 04B (Nov 2004)  
 05A (Jun 2005)  
 05B (Dec 2005)  
 06A (May 2006)  
 06B (Dec 2006)  
 07A (May 2007)  
 07B (Nov 2007)  
 08A (May 2008) (Thomson Reuters West)  
 08B (Nov 2008)

6. Crane and Bromberg on Partnership (West Publishing Co., 1968; XVIII, 695 pp.)  
(sole author this edition)
7. Bromberg & Ribstein on Partnership (Little, Brown & Co., after 1997 Aspen Law  
& Business; vols. 1-2, 1988, vols. 3-4, 1994, remaining vols. in preparation)  
and Supplements:
  - 89 (1989, 382 pp.)
  - 90 (1990, 568 pp.)
  - 91 (1991, 554 pp.)
  - 91A (1991, 250 pp.)
  - 92 (1991, 615 pp.)
  - 93 (1993, 624 pp.)
  - 93A (1993, 620 pp.)
  - 94 (1994, 1968 pp.)
  - 95 (1995, 2028 pp.)
  - 95A (1995, 1052 pp.)
  - 96 (1996, 1110 pp.)
  - 97 (1997, 1398 pp.)
  - 98-1 (1998, ca. 4000 pp.)
  - 98-3 (1998, ca. 1175 pp.)
  - 99-1 (Dec. 1998)

99-2 (Sept. 1999)  
00-1 (Dec. 1999)  
00-2 (Aug. 2000)  
01-1 (Dec. 2000)  
01-2 (Aug. 2001)  
02-1 (Dec. 2001)  
02-2 (Aug. 2002)  
02-2A (Dec. 2002)  
03-2 (Aug. 2003)  
04-1 (Dec. 2003)  
04-2 (Aug. 2004)  
05-1 (Dec. 2004)  
05-2 (Aug. 2005)  
06-1 (Dec. 2005)  
06-2 (Aug. 2006)  
07-1 (Dec. 2006)  
07-2 (Aug. 2007)  
08-1 (Dec. 2007)  
08-2 (Aug. 2008)  
09-1 (Dec. 2008)

8. Bromberg & Ribstein on Limited Liability Partnerships and The Revised Uniform Partnership Act 1995 edition, Little, Brown & Company, 799 pp. (with Ribstein)
- 8A. - (1997 edition, Little, Brown & Company, 651 pp.) (with Ribstein)
- 8B. - (1998 edition, Aspen Law & Business, 634 pp.) (with Ribstein)
- 8C. - (2000 edition, Aspen Law & Business, 692 pp.) (with Ribstein)
- 8D. - (2001 edition, Aspen Law & Business, 701 pp.) (with Ribstein)
9. Bromberg & Ribstein on Limited Liability Partnerships, The Revised Uniform Partnership Act and the Revised Uniform Limited Partnership Act (2001) (2002 edition, Aspen Law & Business, 1015 pp.) (with Ribstein):
- 9A. - 2003 edition, Aspen Law & Business, 1069 pp. (with Ribstein)
- 9B. - 2005 edition, Aspen Law & Business, 1071 pp. (with Ribstein)
- 9C. - 2006 edition, Aspen Law & Business, 1119 pp. (with Ribstein)
- 9D. - 2007 edition, Aspen Law & Business, 1033 pp. (with Ribstein)

9E. – 2008 edition, Wolters Kluwer Law & Business, 1133 pp. (with Ribstein)

§18. Publications - Articles, Reviews and Major Briefs:

1. Elements of Partnership Taxation, 17 Dallas Bar Speaks 388-405 (1956)
2. Book Review, Federal Estate and Gift Taxes (by Lowndes & Kramer), 11 Southwestern Law Journal 266-71 (1957)
3. Prospects for New Corporate Legislation (SMU Law School 1958, pp. 1-11)
4. Texas Partnership Law in the 20th Century, 12 Southwestern Law Journal 263-331 (1958) (with Byron D. Sher)
5. Taxable Income Without Gain on the Sale of a Deceased Partner's Interest: Code, Common Law and Community Property, 13 Southwestern Law Journal 343-363 (1959)
- 5A. - reprinted 10 Monthly Digest of Tax Articles 25-39 No. 6, March 1960)
6. Sale of a Deceased Partner's Interest, 37 Taxes 685-88, 700 (1959)
7. Book Review, Blue Sky Law (by Loss & Cowett), 12 Journal of Legal Education 127-37 (1959)
8. A Formula for the Maximum Intangibles Deduction Where Well Costs are Recouped from Operating Net Income, Prentice-Hall Oil and Gas Texas par. 4014, pp. 4291-97 (1959)
9. Opportunities Open Up for Tax Planning Under New Regs. on Non-statutory Stock Options, 12 Journal of Taxation 297-301 (1960)
10. Timing and Placing Intangibles, Prentice-Hall Oil and Gas Taxes par. 2020, pp. 2371-80 (1960)
- 10A. - revised and reprinted (1965)
- 10B. - revised and reprinted Prentice-Hall Oil & Gas, Natural Resources ¶12020 at 2371-2380-B (1971)
11. The Proposed Texas Uniform Partnership Act, 14 Southwestern Law Journal 437-68 (1960)
12. The Texas Uniform Partnership Act, 23 Texas Bar Journal 713 (1960)
13. Statutory Background of Texas Taxes (SMU Graduate Dept. of Economics 1961, pp. 1-37 and vi)
14. Error Seen in Taxing Partner on Firm's Installment Notes at Gift of his Interest, 14 Journal of Taxation 36-37 (1961)
15. Book Review, It's the Law; Recognizing and Handling the Legal Problems of Private and Public Construction (by Tomson), 8 UCLA Law Review 1025-29 (1961)
16. Principal Features of the Texas Uniform Partnership Act With Some Comparisons to Prior Case Law, 24 Texas Bar Journal 819-20, 882-83 (1961)
17. A Suggested Form of Partnership Agreement for Use Under Texas Uniform

- Partnership Act, 24 Texas Bar Journal 933-34, 1003-06 (1961) (with Joseph M. Stuhl)
- 17A. - modified and reprinted in J. Crane & A. Bromberg on Partnership 597-608 (1968)
  - 17B. - modified and reprinted in 19 R. Hamilton, Texas Practice Business Organizations 85-95 (Sec. 66) (1973)
  - 17C. - modified and reprinted in R. Hamilton, Cases and Materials on Corporations 943-53 (1976)
  - 17D. - modified and reprinted in F. Elliott & H. Bateman, 8A West's Texas Forms - Business Enterprises 37-44 and passim (1980) and in H. Bateman id. 25-31 and passim (1993)
  18. Corporate Liquidation and Securities Law - Problems in the Distribution of Portfolio Securities, 3 Boston College Industrial and Commercial Law Review 1-13 (1961)
  19. Texas Uniform Partnership Act - The Enacted Version, 15 Southwestern Law Journal 386-92 (1961)
  20. Comments on Texas Uniform Partnership Act, 17 Vernon's Annotated Texas Statutes 234-82 (1962)
  - 20A. - Reprinted 17 id. 299-408 (1970)
  21. Comments - 1963 Amendments to Texas Securities Act, 2 Vernon's Annotated Texas Statutes 8-9, 43-44 (1963 Supp.), 1B Vernon's Annotated Texas Statutes 15-16, 69-70 (1964)
  22. Securities Exemptions for Small Offerings in Texas, 3 Bulletin of the Section on Corporation, Banking and Business Law (State Bar of Texas) 1-8 (No. 3, May 1964)
  23. Tax Planning of Oil and Gas Transactions, Ohio Legal Center Institute Reference Manual for Continuing Legal Education Program (Ohio Oil & Gas Conference) 225-32 (June 1964)
  24. Texas Exemptions for Small Offerings of Corporate Securities, 18 Southwestern Law Journal 537-67 (1964)
  25. Partnership Dissolution - Causes, Consequences and Cures, 43 Texas Law Review 631-68 (1965)
  - 25A. - revised, enlarged and reprinted as Partnership Dissolution - Causes, Consequences and Cures, Including Special Problems of Law Firms, 7 Law Office Economics & Management 27-42, 158-89 (1966)
  26. Tax Influences on the Law of Business Associations, 16 Baylor Law Review 327-36 (1964-65)
  27. Selection of a Trustee: Tax and Other Considerations, 19 Southwestern Law Journal 523-64 (1965)
  - 27A. - revised and reprinted, 1 University of Miami Institute on Estate Planning 4-1 - 4-41 (1967)
  28. Non-Profit Corporations: Organizational Problems and Tax Exemptions, 17 Baylor Law Review 125-67 (1965)

29. Museum - The Legal View and its Tax Implications, 44 Museum News (Journal of the American Association of Museums) 21-27 (Feb. 1966)
- 29A. - reprinted as The Tax Status of Museums, 47 Chicago Bar Record 326-34 (1966)
30. Pitfalls in Corporate Liquidation, Proceedings of 1965 Texas Tech Tax Institute 95-125
- 30A. - reprinted 29 Texas CPA 5-22, 61-64 (July 1966)
- 30B. - reprinted in part, 44 Taxes 174-90 (1966)
31. Corporate Planning, 18 Journal of Legal Education 325-29 (1966)
32. Texas Exemptions for Small Offerings of Corporate Securities - The Prohibition on Advertisements, 20 Southwestern Law Journal 239-57 (1966)
33. Book Review, The Law of Art & Antiques (by Hodes), 45 Museum News (Journal of the American Association of Museums) 44-45 (Sept. 1966)
34. Introduction to Securities Regulation Symposium, 20 Southwestern Law Journal 431-33 (1966)
35. Fraud, Rule 10b-5, Ohio Legal Center Institute Reference Manual for Continuing Legal Education Program (Securities Regulation II) 7.01-7.09 (1968)
36. The Uses of Partnership, 6 Bulletin of the Section on Corporation, Banking & Business Law (State Bar of Texas 1-4 (No. 1, June 1968)
37. Insider Purchases, Sales and Tips, PLI Program Materials for Texas Gulf Sulphur: Disclosures and Insiders 30-40 (1968)
- 37A. - reprinted Texas Gulf Sulphur: Disclosures & Insiders 75-85 (7 PLI Corporate Law and Practice Course Handbook Series 1968)
- 37B. - expanded and reprinted in Texas Gulf Sulphur - Insider Disclosure Problems 198-239 (PLI Corporate Law and Practice, Transcript Series No. 1, 1968)
- 37C. - reprinted in The Law, Disclosure and the Securities Market 201-229 (PLI Corporate Law and Practice, Course Handbook Series No. 42, 1970)
38. Report of Committee on Securities and Investment Banking, 7 Bulletin of the Section on Corporation, Banking and Business Law (State Bar of Texas) 5-7 (No. 1, Sept. 1968)
- 38A. - revised and reprinted as The Proposed Texas Uniform Securities Act, 31 Texas Bar Journal 1030-1032 (1968)
39. Book Review of W. Cary, Politics and the Regulatory Agencies, 22 Southwestern Law Journal 703-704 (1968)
40. Disclosure, 1 Review of Securities Regulation 993-996 (No. 1, Nov. 15, 1968) and 985-989 (No. 2, Dec. 2, 1968)
41. The Texas Small Offering Exemptions: Purchasers Must Have Investment Intent and Be Sophisticated, Informed or Close Associates of the Issuer, 7 Bulletin of the Section on Corporation, Banking and Business Law (State Bar of Texas) 6-8 (No. 2, Nov. 1968)
42. Film Review of ABA Section on Corporation, Banking and Business Law, "Whether to Incorporate" and "How to Incorporate," 24 Business Lawyer 330-333 (1968)
- 42A. - reprinted 21 Journal of Legal Education 237-239 (1968)

- 43. The Law of Corporate Information - An Interim Report on a Growth Situation, Financial Analysts Record 15-17 (Dec. 1968)
- 43A. - reprinted (with modifications) 25 Financial Analysts Journal 26-31 (No. 2, March-April 1969)
- 43B. - reprinted in C.F.A. Readings in Financial Analysis 682-693 (1970) (Institute of Chartered Financial Analysts; Richard D. Irwin, Inc.)
- 44. Corporate Information: Texas Gulf Sulphur and Its Implications, 22 Southwestern Law Journal 731-754 (1968)
- 45. Book Review of C. Israels and E. Guttman, Modern Securities Transfers, 22 Southwestern Law Journal 902-905 (1968)
- 46. The proposed Texas Uniform Securities Act - Analysis and Comparison with Existing Texas Securities Act (Comm. on Securities and Investment Banking, State Bar of Texas, 38 pp. and Appendix, March 1969)
- 47. Report on Texas Uniform Securities Act, 7 Bulletin of the Section on Corporation, Banking and Business Law (State Bar of Texas) 1-8 (No. 4, April 1969)
- 48. Recent Developments under §10(b) and Related Antifraud Provisions; First Annual Securities Regulation Institute 89-103 (PLI Corporate Law and Practice, Course Handbook Series, No. 27, 1969)
- 48A. - revised and reprinted in First Annual Institute on Securities Regulation 249-265 (PLI Corporate Law and Practice Transcript Series No. 11, 1970)
- 49. Exchange Offers, 2 Review of Securities Regulation 805-15 (1969)
- 49A. - revised and reprinted, 12 Corporate Practice Commentator 1-33 (No. 1, May 1970)
- 49B. - reprinted in The Law, Disclosure and the Securities Market 271-281 (PLI Corporate Law and Practice, Course Handbook Series No. 42, 1970)
- 50. Book Review of W. Painter, Federal Regulation of Insider Trading, 64 Northwestern Univ. Law Review 598-605 (1969)
- 50A. - reprinted 12 Corporate Practice Commentator [125-32] No. 1, May 1970)
- 51. The Securities Law of Tender Offers, 15 New York Law Forum 459-568 (1969) [essentially Sec. 6.3 of Securities Law: Fraud, as revised in Supps. 69-2 and 69-3]
- 52. Disclosure Compliance Programs, 8 Proceedings of Corporate Counsel Institute 325-358 (Northwestern Univ. School of Law, 1969)
- 53. Registration Exemptions - Federal and State Patterns. Symposium, Securities Regulation, Corporate and Tax Aspects of Securities Transactions B - B-13 (Southwestern Legal Foundation 1970)
- 54. Report on Forgery and Fraudulent Practices, Texas Penal Code Revision Project (State Bar of Texas and Texas Legislative Council), 1 May 1970, 170 pp. (with Harvey Wingo)
- 54A. - condensed and revised as Draft 2 (1970, 30 pp.)
- 54B. - revised as Draft 3 (1970, 42 pp.)
- 54C. - revised as Draft 4 (1970, 45 pp.)
- 54D. - revised and reprinted in Texas Penal Code, A Proposed Revision 230-254 (State Bar of Texas and West Publishing Co., Oct. 1970)

55. Tender Offers: Safeguards and Restraints - An Interest Analysis, 21 Case Western Reserve Law Review 613-680 (1970)
56. Articles of Incorporation, Bylaws and Minute Book for Texas Corporations, with Notes and Comments; in Incorporation Planning in Texas 107-166 (State Bar of Texas, Section on Corporation, Banking and Business Law, 1970)
- 56A. - reprinted id. 61-123 (1973)
57. Tippee Risks and Liabilities, 3 Review of Securities Regulation 875-881 (No. 5, Sept. 4, 1970)
- 57A. - reprinted 12 Corporate Practice Commentator 411-425 (Feb. 1971)
58. Liabilities in Going Public, 2d Annual How to Go Public Institute, vol. 1, 517-525 (PLI Corporate Law and Practice, Course Handbook Series, No. 61, 1971)
- 58A. - enlarged and reprinted as Liabilities, How to Go Public 279-297, 316-319 (PLI Corporate Law and Practice, Transcript Series, No. 17, 1971)
- 58B. - revised and reprinted, 3d Annual How to Go Public Institute, vol. 2, 25-36 (PLI Corporate Law and Practice, Course Handbook Series, No. 90, 1972)
59. Corporate and Insider Disclosure Problems, 2d Annual How to Go Public Institute, vol. 2, 209-219 (PLI Corporate Law and Practice, Course Handbook Series, No. 62, 1971)
- 59A. - enlarged and reprinted as Company Disclosure Obligations, How to Go Public 381-404 (PLI Corporate Law and Practice Transcript Series, No. 17, 1971) and as Insiders' Liability, id. 405-424
- 59B. - revised and reprinted, 3d Annual How to Go Public Institute, vol. 2, 85-99 (PLI Corporate Law and Practice, Course Handbook Series, No. 90, 1972)
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- 60A. - reprinted 3 Securities Law Review 163-204 (1971)
- 60B. - digested 2 CFA Digest 37-40 (Inst. of Chartered Financial Analysts 1972)
61. Business Aspects of the Revised Penal Code, 9 Bulletin of the Section on Corporation, Banking & Business Law (State Bar of Texas) 1-11 (No. 5, May 1971) (with Seth S. Searcy, III)
62. Problems in Legal Education - 1971 (A Survey), 20 Cleveland State Law Review 441-453 (1971) (with Alfred F. Conard et al)
63. An Integrated Look at Insider Trading; Symposium, Securities Regulation, Corporate and Tax Aspects of Securities Transactions G - G-19 (Southwestern Legal Foundation 1972)
64. Sales of Control, Bootstrapping, 5 Review of Securities Regulation 889-896, 885-892, 877-883 (July 17, Aug. 16, Sept. 6, 1972)
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66. Are There Limits to Rule 10b-5? ABA National Institute, The Revolution in Securities Regulation, Materials, Tab 10 (14 pp.) (Oct. 1973)
- 66A. - revised and reprinted, 29 Business Lawyer 167-177 (Special Issue March 1974)
67. Collectibility of Commissions on Exempt Transactions in Securities, 11 Bulletin of



- Section on Corporation, Banking & Business Law (State Bar of Texas) 3-5 (No. 1, Oct. 1973)
- 68. Book Review of E. Aranow & H. Einhorn, Tender Offers for Corporate Control, 27 Southwestern Law Journal 571-576 (1973)
  - 68A. - reprinted 16 Corporate Practice Commentator I-1 - I-8 (Spring 1974)
  - 69. Review of the HP-80 Business Pocket Calculator, 29 Business Lawyer 1051-1057 (April 1974)
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  - 71A. - revised and expanded as Application of Rule 10b-5 - Public and Private Transactions; The 10b Series of Rules 49-89 (PLI Corporate Practice, Transcript Series, No. 21, 1975)
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  - 73A. - (SMU Law School, 1975 revision, 59 pp.) (with Ellen K. Solender, Mary Ellen White)
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  - 76B. - Plaintiff's Post-Trial Brief (Sep. 1977) (ii, 43 pp.) (same and contract breach, NYSE Rule)
  - 76C. - Plaintiffs' Reply to Defendant's Post Trial Brief (Nov. 1977) (ii, 44 pp) (same)
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81. Proxy Rules Liability, Univ. of California Securities Regulation Institute Program Materials (30 pp.) (Jan. 14-16, 1976)
82. Motion for Rehearing of the Respondents, The Representatives of the Estate of Mattie Caruth Byrd, Deceased, Park Cities Corp. v. Byrd, No. B-3696 (Supreme Court of Texas, March 4, 1976) (iv, 45 pp.) (with co-counsel) [accounting, on death of general partner, for capital account deficit resulting from accelerated depreciation later recouped on sale of the property]
83. Liabilities and Remedies under the Proxy Rules, Symposium, Securities Regulation, Corporate and Tax Aspects of Securities Transactions B - B-56 (Southwestern Legal Foundation 1976)
84. 10b-5 Liabilities for Nondisclosure, in Introduction to Securities Laws Disclosure, vol. 1, 225-252 (PLI Corporate Law and Practice, Course Handbook Series, No. 212, May-June 1976)
85. Corporation, Partnership & Securities Law (Tapes, with Robert Hamilton):  
    #1 (July 1976, ARB part 50 mins.)  
    #2 (Oct. 1976, ARB part 30 mins.)
86. Trial Brief of Defendant Shearn Moody, Jr. on Federal Securities Law Points, Meyers v. Moody, CA 3-5678-D (US DC ND Tex. Oct. 1976) (30 pp.) (with co-counsel) [collapse of insurance company; receiver's right to sue; causation; parent-sub transactions; over-valued stock; burden of proof; punitive damages]
87. Brief of Defendant Shearn Moody, Jr. in Support of his Motion for Partial Summary Judgment on Scope of Liability (US DC ND Tex., Nov. 1976) (18 pp. & Appx.) (with co-counsel) [receiver can sue only for injury to corporation, not to policyholders or shareholders]

88. Brief of Defendant Shearn Moody, Jr. in Support of his Motion for Directed Verdict on Statute of Limitations (US DC ND Tex., Dec. 1976) (81 pp.) (with co-counsel)
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94. Going Private; The Role of Federal and State Law in Internal Corporate Affairs; The Split Between the Supreme Court and the SEC; Univ. of California Securities Regulation Institute Program Materials (15 pp.) (Jan. 18-20, 1978)
95. The Greening of Santa Fe - SEC Proposals on Going Private and Issuer Tender Offers; Contrast with Supreme Court; Southwestern Legal Foundation Symposium on Securities Regulation Program Materials B-1 - B-29 (Apr. 26, 1978)
96. Corporate General Partners, 16 Bulletin of the Section on Corporation, Banking & Business Law (State Bar of Texas) 24-27 (No. 1, Sep. 1978) (with co-authors)
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98. Unanimity Requirements in Limited Partnerships, 16 Bulletin of the Section on Corporation, Banking & Business Law (State Bar of Texas) 3-10 (No. 2, Dec. 1978) (with co-authors)
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  105. The Commodities Boom – Rights and Remedies of Commodities Investors, Dallas Bar Association Clinic Materials (26 pp.), May 15, 1981
  106. Proposed Legislation -- Texas Securities Act, 46 Texas Bar Journal 36-39 (Jan. 1983)
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  111. Capital Formation in Texas, Texas Society of Certified Public Accountants Securities Regulation Conference Program Materials 24pp (Aug 23, 1985)
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  113. Brief of Defendants, Arrow Distributing Corp. v. Baumgartner, 5th Cir. (June 1985), 35 pp [short swing insider trading liability involving employee stock options]
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  119. Challenging Securities Industry Arbitration, New York Law Journal, Feb. 16, 1990 at 1 col. 1 and 7 (with Lowenfels)
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  - 120B. -reprinted, 3 American Bar Assoc., Selected Articles on Federal Securities Law 137-213 (1991)
  - 121. Texas Business Organization and Commercial Law – Its Development and Reform 1836-1986 (75 pp., 1987, unpublished; copies in SMU Undewood Law Library)
  - 122. The Employee Investor: ESOPs and Other Employee Benefit Plans as Securities, 19 Securities Regulation Law Journal 325-340 (Winter 1992)
  - 122A. -digested, 6 Bowne Digest for Corporate & Securities Lawyers 4 (April 1992)
  - 123. Enforcement of Partnership Rights – Who Sues for the Partnership?, 70 Nebraska Law Review 1-35 (1991)
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  - 125. Registered Limited Liability Partnerships, 28 Bulletin of Business Law Section (State Bar of Texas) 1-28 (No. 3, Sept. 1991) (with co-authors) [Texas Bar Foundation Award for Outstanding Law Journal article]
  - 125A. -reprinted State Bar of Texas, Building Blocks of a Business Law Practice 1992, C-1 - C-24 (Professional Development Program)
  - 125B. -reprinted as Registered LLPs, 35 Texas Bar Journal 728-737 (1992)
  - 126. Securities Market Manipulations; an Examination and Analysis of Domination and Control, Frontrunning and Parking, 55 Albany Law Review 293-365 (1991) (with Lowenfels)
  - 127. Enforcement of Partnership Obligations - Who Is Sued for the Partnership?, 71 Nebraska Law Review 143-191 (1992)
  - 128. What Is a Security Under the Federal Securities Laws?, 56 Albany Law Review 473-560 (1993) (with Lowenfels)
  - 129. Disclosure of Government Investigations, 8 Insights 17-22 (No. 6, June 1994) (with Lowenfels)
  - 130. Aiding and Abetting: Sudden Death and Possible Resurrection, 27 Review of Securities & Commodities Regulation 133-140 (Aug. 1994)
  - 131. The Role of the Business Law Section and the Texas Business Law Foundation in the Development of Texas Business Law, 31 Bulletin of the Business Law Section (State Bar of Texas) 2-40 (No. 2, June 1994) (with coauthors)
  - 132. Broker-Dealer Supervision: A Troublesome Area, 25 Seton Hall Law Review 527-57 (1994) (with Lowenfels)
  - 133. SEC Rule 10b-5 and Its New Statute of Limitations: The Circuits Defy the Supreme Court, 51 Business Lawyer 309-34 (1996) (with Lowenfels)
  - 134. A New Standard for Aiders and Abettors Under the Private Securities Litigation Reform Act of 1995, 52 Business Lawyer 1-12 (1996) (with Lowenfels)
  - 135. Controlling Person Liability Under Section 20(a) of the Securities Exchange Act and Section 15 of the Securities Act, 53 Business Lawyer 1-33 (Nov. 1997) (with Lowenfels)

136. Misappropriation in the Supreme Court, 32 Review of Securities & Commodities Regulation 37-48 (Feb 18, 1998) (with Lowenfels)
137. Liabilities of Lawyers and Accountants Under Rule 10b-5, 53 Business Lawyer 1157-80 (1998) (with Lowenfels)
138. Suitability in Securities Transactions, 54 Business Lawyer 1557-97 (1999) (with Lowenfels)
- 138A. - reprinted North American Securities Administrators Association, Inc., Enforcement Law Reporter 1-70 (2000)
139. U.S. Securities Fraud Across the Border: Unpredictable Jurisdiction, 55 Business Lawyer 975-1021 (2000) (with Lowenfels)
140. Compensatory Damages in Rule 10b-5 Actions: Pragmatic Justice or Chaos?, 30 Seton Hall Law Review 1083-1113 (2000) (with Lowenfels)
141. Rule 10b-5's "In Connection With": A Nexus for Securities Fraud, 57 Business Lawyer 1-24 (December 2001) (with Lowenfels)
142. Texas Business Organization and Commercial Law – Two Centuries of Development, 55 SMU Law Review 83-139 (2002)
143. Beyond Precedent: Arbitral Extensions of Securities Law, 57 Business Lawyer 999-1023 (2002) (with Lowenfels)
144. Implied Private Actions Under Sarbanes-Oxley, 34 Seton Hall Law Review 775-806 (2004) (with Lowenfels).
145. Attorneys as Gatekeepers: SEC Actions Against Lawyers in the Age of Sarbanes-Oxley, 37 Univ. Of Toledo Law Review 877-930 (2006) (with Lowenfels)
146. Backdating Stock Options – Effects Upon In-House Corporate Counsel, 39 Securities Regulation and Law Report 436-38 (2007) (with Lowenfels)
147. Suitability Actions in Securities Arbitrations Often Overlook State Law as Possible Basis, 40 Securities Regulation & Law Report 493-95 (2008) (with Lowenfels)

**§19. Statutes Drafted:**

1. Texas Business Corporation Act (1955), parts
2. Texas Non Profit Corporation Act (1959), parts
3. Texas Uniform Partnership Act (1961)
4. Texas Non Profit Corporation Act art. 2.08E (added 1961)
5. Texas Miscellaneous Corporation Law Act, arts. 1302-1.03, -3.03, -3.04, -3.05 (1961)
6. Texas Securities Act, art. 581 §§5E and 33 (as amended 1963)
7. Texas Revised Civil Stat. Title 122A, art. 14.06 (inheritance tax, reciprocal charitable exemption) (as amended 1963)

8. Texas Non Profit Corporation Act, arts. 1396-2.14E, -2.17, -2.18A (as added or amended 1967)
9. Texas Professional Associations Act, art. 1528F §§1-24 (1969)
10. Texas Business Corporation Act, art. 5.14 (as amended 1973)
11. Texas Penal Code §§32.01-32.47 (1973)
12. Texas Securities Act, art. 581 §33 (as amended 1977)
13. Texas Uniform Limited Partnership Act, art. 6132a §32 (1977)
14. Texas Securities Act, art. 581 §22 (as amended 1979)
15. Texas Uniform Partnership Act, art. 6132b §6-A (as added 1979)
16. Texas Uniform Limited Partnership Act, art. 6132a §§2A, 10(b) and (with George W. Coleman) §8 (as added or amended 1979)
17. Texas Securities Act §§10.D and 10-1 (as added 1983)
18. Texas Business Corporation Act, art. 4.14 (as amended 1987)
19. Texas Revised Limited Partnership Act (1987), parts, esp. Secs. 3.01-3.03
20. Texas Professional Corporation Act §16 (as amended 1989)
21. Texas Uniform Partnership Act, art. 6132b §§2, 15, 45-A, 45-B, 45-C (as added or amended 1991) [Registered Limited Liability Partnership provisions] revised as art. 6132b-3.08 (1993)
22. Texas Revised Partnership Act, art. 6132b (1993), parts

§20. Civic Positions:

Community Arts Fund, Dallas:

Secretary 1963-75

Board of Directors, Member 1963-75

Audit-Budget Comm., Member 1963-65

Dallas Museum of Art

Location photographer for various videos and audio-visual programs, 1978-

Dallas Museum for Contemporary Arts, General Attorney 1956-63

Dallas Print and Drawing Society, Vice-President 1958-61

Dallas Theater Center

Vice-President, 1964-66

Secretary 1957-66

Executive Committee, Member 1957-69, 1979-85

Board of Directors, Member 1955-72, Life Member 1973-

Finance Committee, Member 1957-65

Legal Committee, Chairman 1968-72, Member 1975-85

Dallas Theater Center Endowment Fund

Trustee 1974-85

Vice President 1974-85

Dallas United Nations Assoc., Board of Directors, Member 1958-62

Foundation for the Arts, General Attorney 1963-68; Trustee 1996-

**§21. Corporate Positions:**

International Data Systems, Inc.:

Counsel 1961-65

Secretary 1963-65

Board of Directors, Member 1963-65

Officer or Director of several privately-held companies and a private foundation at various times

**§22. Military Service: US Army 1952-54 (Military Intelligence)**

**§23. Family:**

Parents:

Alfred L. Bromberg (1889-1975)

Juanita K. Bromberg (1902-1990)

3201 Wendover Road, Dallas, Texas 75214

Wife:

Anne Ruggles Bromberg, B.A. (S.C.L., P.B.K.), M.A., Ph.D; Harvard - Radcliffe; Curator of Ancient and South Asian Art, Dallas Museum of Art; Dallas Arboretum & Botanical Society Board of Directors, Member;



formerly Scholar in Residence, Director and Curator of Education, Curator of Research, Dallas Museum of Art; formerly Teaching Fellow, Harvard Univ.; Instructor, Wellesley College; Asst. Professor of Classics, SMU; Professor, Dallas Theater Center; Lecturer, Univ. of Texas at Arlington, SMU Dept. of Fine Arts and elsewhere. Author, A Guide to the Collections, Dallas Museum of Art (1979); Dallas Museum of Art Selected Works (1983); Gold of Greece (1990); The Quedlinburg Treasury (1991); Gods, Men and Heroes; Ancient Art at Dallas Museum of Art (1996) (with Kilinski); Ancient Gold Jewelry at Dallas Museum of Art (1996) (with co authors); Dallas Museum of Art: A Guide to the Collection (1997) (with co authors)

Children: None

§24. Miscellaneous:

Named an Outstanding Professor by SMU Rotunda (student yearbook), 1964, 1966, 1968

Named Outstanding Professor by the Barristers (SMU Law student service organization) 1965

Texas Bar Foundation Outstanding Law Journal Article Award, 1992

Certificate of Merit from State Bar of Texas "as one of three most deserving of recognition of the State Bar for services to it and the profession," 1993

University Lecture Series Author's Award, 1994

Who's Who in America, 1980-

Who's Who in American Law, 1983-

Who's Who in the South and Southwest, 1965-

Who's Who in the World, 1984-

Research leaves 1966-67, 1970-71, 1976-77, 1981-82, 1985-86, 1990-94

Commentator on corporate, securities and financial developments at

various times for media including: ABC News, Albuquerque Journal, American Lawyer, Atlanta Constitution, Associated Press, Australian Times, Baltimore Sun, Bloomberg Business News, BNA Daily, BNA Securities Reg. & Law Rep., Boston Globe, Business Week, California Public Radio, Casper Star Tribune, CBS News, Channel 4 (Dallas), Christian Science Monitor, Cleveland Plain Dealer, Congressional Quarterly Editorial Research Reports, Copley News, Corporate Control Alert, Daily News (Van Nuys), Dallas Business Journal, Dallas Morning News, Dallas Observer, Dallas Times Herald, Dealers Digest, Financial Post (Canada), Financial Times, Financial World, Fortune, Fort Worth Star Telegram, Gannett Newspapers, Houston Chronicle, Insider Litigation, Insight, Investors Daily, Irish Times, Jacksonville Times Union, Journal of Commerce, KGIL (Los

Angeles), KMNY Money Radio (Los Angeles), KMOX (St. Louis), Lawyers Weekly, Los Angeles Daily Journal, Los Angeles Times, Manhattan Lawyer, Money, National Journal, National Law Journal, National Public Radio, Newark Star Ledger, Newsday, News Journal (Wilmington), Newsweek, New York Daily News, New York Times, New York Observer, Palm Beach Post, Philadelphia Inquirer, Regardies, Registered Rep, Reuters, Student Lawyer, Syracuse Newspapers, Texas Lawyer, Texas Observer, UPI, USA Today, US News & World Report, Wall Street Journal, Washington Post, Washington Times, WOR (New York)

Expert witness on various corporate, partnership, securities and fiduciary issues

Foreign travel:

Africa (eastern): 1967, 1977, 1984, 1992 (Egypt, Kenya, Tanzania)

Africa (western): 1977, 1980, 1997, 1998 (Cameroon, Ivory Coast, Mali, Senegal, Morocco, Tunisia)

Africa (south): 2008 (South Africa, Botswana, Zambia)

Africa (north) 2005 (Libya)

America (south): 1988, 1993 (Bolivia, Ecuador, Galapagos, Peru, Chile, Easter Island)

Asia (eastern): 1964, 1971, 1986, 1990, 1992, 1995, 1998, 2001, 2002, 2003, 2004, 2006, 2007 (Japan, Ceylon (Sri Lanka), China, India, Nepal, Bali, Java, Burma, Malaysia, Singapore, New Zealand, Australia, Papua New Guinea, Irian Jaya, Sulawesi, Thailand, Cambodia, Viet Nam, Bhutan, Tibet)

Asia (central): 1999 (Uzbekistan)

Asia (western): 1960, 1967, 1983, 1999, 2000 (Turkey, Iran, Syria, Jordan,

Cyprus)

Canada: 1961, 1976, 1981

Europe (eastern): 1979, 1987, 1991, 2003 (Yugoslavia, Czechoslovakia, Hungary, East Germany, Poland, Ukraine)

Europe (western): 1952-1960, 1963, 1965, 1967, 1969, 1970, 1979-1980, 1982-1985, 1987, 1989, 1991-1992, 1994, 1997, 2000, 2004 (all countries)

Mexico and Central Ame

rica: 1948, 1972, 1976

American Bar Association Committee on Partnerships and Unincorporated Business Organizations, Martin I. Lubaroff Award (2002)

Preservation Dallas Stewardship Award for Historic Preservation (2003) (with Anne R Bromberg, Gail & Dan Patterson)



**Tributes to  
Professor Alan R. Bromberg**

