Teaching Software System Design: An Experiential Approach

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TEACHING SOFTWARE SYSTEM DESIGN:
AN EXPERIENTIAL APPROACH

Working Paper 83-100*

by

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This work was supported by the National Science Foundation under grant SER-7900607.

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1. INTRODUCTION

Software developers are often faced with complex and difficult challenges. Issues they address involve starting with an ill-defined problem, abstracting the underlying processes and data relationships, representing the problem structure in a manner that can be readily transformed into a programming language, designing and coding the system, testing the code produced, educating user personnel, planning for system conversion, and on and on. No single academic discipline adequately prepares the student for the vagaries of such an experience: software development may require a combination of skills derived from psychology, management science, operations research, organizational behavior, electrical engineering, mathematics, and computer science. Software development involves much more than programming. In fact, programming represents only a small part of the typical development effort [Boehm, 1976].

Approaches to teaching software development in university environments tend to emphasize the programming aspects of the software project: ranging from providing realism in the classroom [Freeman, 1978] to structuring the development process [Kant, 1981]. The course described in this paper, however, views the software development project as a joint, participative effort involving both the users of the system as well as the developers. Students learn to apply software design techniques by dealing with real people with real software needs in real organizational settings. The focus of this paper is on the design portion of the project; a subsequent paper will address our experiences in actually implementing these designs.
2. COURSE DESCRIPTION

Software engineering is taught at SMU in a two-semester sequence. The fall semester course, Software Engineering Principles, is open only to students with a senior standing. The course lecture material provides an overview of the development project, discusses project management, and then focuses on the "creative" phases of the software life cycle - requirements engineering and systems design. In conjunction with the lecture material, students work in teams on separate "real-life" projects for the school administration and for local businesses and industry. The teams' goals are the preliminary design of the system's software architecture: each group is also required to prepare a system implementation plan for the next semester.

The spring semester course is called Software Engineering Laboratory. As the name implies, less emphasis is placed on lectures. This semester deals primarily with the experiences of the project teams as they wrestle with the "implementation" portion of the project. However, supplementary lecture material is presented to cover topics such as detailed design representation, coding techniques, software testing, customer education, and conversion considerations. The projects which are implemented during the spring are chosen from those designed during the fall semester. The spring semester course is open to both all levels of undergraduates. Usually seniors serve as project managers and team leaders, while other undergraduates take on team responsibilities commensurate with their experience and initiative.
3. LECTURE TOPICS

The course sequence was initially conceived of as a capstone "projects" course, with a few project management topics added. However, it soon became clear that, even though the students had an excellent background in programming techniques and an understanding of data structures, they were lacking some fundamental skills needed to translate a poorly defined problem into a professional piece of software. As a result, an appreciable portion of the lecture material is spent on "front-end" problems of the development effort: communicating with users with limited knowledge of computers, defining precisely what the problem is or what the user wants, representing the problem so that it can be communicated to both the user and other members of the project team, separating political problems from technical problems, and designing for a changing environment. An outline of the course modules is included in Appendix A.

Project Preliminaries

The lecture topics begin with a brief "history" of software development, tracking it from machine language programming of the early 50's to the multi-billion dollar a year industry it is today. The software crisis [Jensen and Tonies, 1979; Mills, 1977] is examined, as well as some of the pressures brought to bear on the developers of software systems in industry. The software life cycle is presented as one view of the evolution of a software system. Although this concept has come under criticism recently [Gladden, 1982; Jackson and McCracken, 1982], it still serves as an excellent framework within which to address the interdisciplinary nature of software development work. It can also be useful to assure harried students that software projects do eventually "end".

The course then describes the activities within each life-cycle phase in more detail, often using a scenario approach in which class members with previous experience or part-time programming jobs describe what happens in a development effort. The purpose of this module is two-fold: it gives the
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student a relevant overview of processes really involved in a development effort, and it sets the stage for the discussion of project planning, scheduling, and monitoring which follows.

The next module introduces the Work Breakdown Structure (WBS) [Boehm, 1981] as a means for organizing and estimating a development effort. The approach taken here is that although each software project is different, there are repeating patterns of activity common to all projects. The students are given a list of "standard" activities (Appendix B); later, they will be asked to tailor these activity descriptions and add others as they develop a WBS for their own projects. This module also introduces students to PERT and CPM as scheduling tools. Gantt charts are used to represent the schedule, as well as a tool for smoothing resource utilization.

Since most of the student projects will start with no written description, students are given a series of lecture on interview techniques. One lecture deals with the advantages of interviewing in a "top-down" order in an organization: managers first, then supervisors, then clerical personnel. Other lectures emphasize techniques of the individual interview. An exercise which has proven fruitful is to assign one of the more outspoken teams the goal of obtaining a piece of information about a system, and have the instructor to play the role of a recalcitrant and somewhat grumpy user. After the interview, other class members are asked to analyze the interview and to suggest question sequences which might have been used. Students are also asked to represent graphically the information which was presented verbally during the interview. The class then discusses the different interpretations of what was said, which invariably occur.

Defining the Problem

An initial step in the evolution of a software system is for the development team and the customer/user to reach closure on what the problem really is. This phase is often called "requirements engineering" [Boehm, 1976]. However, an equally important aspect of the project occurs during this phase: a relationship between the system developers and the users is established which will carry forward through the remainder of the project. The dual nature of the requirements
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engineering phase is addressed in the course lecture material by presenting a development methodology which: 1) encourages a high degree of user participation and involvement in the definition and design efforts, 2) represents the evolving system in graphical form which the user can understand, 3) invites user changes and creativity early in the design process rather than after the design is complete, and 4) attempts to establish a sense of user "ownership" of the system. (The underlying question of "Whose system is it?" appears to impact not only the relationship between the designers and the users but also the actual structure of the resulting system. If the designers "own" the system, users may wind up with an accounts receivable system which looks suspiciously like a compiler. In this course setting, many students have the idea that the new system "belongs to" the designers and programmers only until the coding is complete, and are somewhat surprised when the users are reluctant to accept the coded system and finish the testing themselves.)

Problem definition is stressed as one of the most important portions of the project. Students are introduced to structured analysis [DeMarco, 1978; Gane and Sarsen, 1979], a technique for examining information flow throughout the problem. The lectures also stress the importance of identifying and representing the structure of information at various points in the problem. Techniques for representing information structure include Jackson Structure Charts [Jackson, 1975], Warnier-Orr Diagrams [Warnier, 1974; Orr, 1977], and Yourdon-Constantine Diagrams [Yourdon and Constantine, 1978]. In a series of class exercises, students are given a verbal description of an information system and copies of the reports it is to produce. Then they are asked to depict the problem structure graphically, showing both information flows (data flow diagrams) and information structures (data structure charts).

The data dictionary is presented as a necessary and integral tool for any development project. Students are introduced to structured walkthroughs [Freedman and Weinberg, 1982] and design reviews [Inmon and Freidman, 1982] as a vehicle for presenting the designers' perception of the problem definition to the user and as a means for encouraging user participation and dialogue. The lectures emphasize the necessity for user feedback and input, as well as the need for representing the
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problem as it is perceived by the user, not as it is perceived by the designer.

System Design

The students are introduced to two methodologies used to map the problem representation into a software architecture: data flow design approaches [Yourdon and Constantine, 1978; Pressman, 1982] and data structure design approaches [Jackson, 1975; Warnier, 1974; Peters, 1982]. A number of small problems are used to demonstrate each technique, and to point out the types of problems and development environments in which each technique has been successfully applied.

The issue of program modularity is discussed extensively. Students with part-time jobs (who usually work as maintenance programmers) are asked to describe to the rest of the class the types of problems they have encountered when attempting to modify a portion of a complex software system. Such discussions usually include lamentations about the lack of adequate documentation, the fact that many such modifications are made in stressful situations (often in the wee hours of the morning), the problems of having to "re-think" another individual's code, their lack of appreciation for "clever" programming tricks, how sensitive highly-coupled monolithic coding is to the simplest change, and on and on. We next focus on issues of coupling and cohesion [Stevens, Myers, and Constantine, 1974] using small examples of "pathological" coding for discussion. The focus on modularity issues concludes with an introduction to Halstead's Software Science measures [Halstead, 1977] and McCabe's complexity metric [McCabe, 1976]. The students are asked to analyze a piece of code and calculate values for each of these software metrics.

Throughout the discussions on software design, emphasis is continually placed on the desirability representing the design graphically, in a simple form which can be understood by both users and other members of the design team. Too many software projects have been disrupted by disagreements about "what was said when" - many of these misunderstandings could have been avoided by drawing a simple picture of what was meant. Since communication between team members and the user group will probably be one of the major problems experienced by the students in their project, the students
are encouraged to use understandable graphical representations as a focal point for discussions about the system.

4. THE USERS

Prior to the start of each semester, the instructor identifies a number of potential projects by contacting local businesses and administrative offices in the university. Key individuals are identified who are familiar with the details of the problem. The instructor meets with these individuals to assess the problem and the environment in which the students will be working. Each user group is cautioned that the project should be a small, "back-burner" type of problem which falls into the nice-to-have, rather than the need-to-have category, since there is always a possibility that the student group may not be able to handle the project. Users are encouraged to look at the project as two sub-projects, one for design and another for implementation. (Sometimes in-house programmers have been able to complete an implementation when a student team couldn't be assembled for the second semester.) Each user group is appraised that the development methodology will require a significant commitment of time and involvement on the part of the key individuals. Even with all these constraints placed on the projects, practically every potential user group contacted was enthusiastic about supporting the course.

The benefits the user organization derives from the project are 1) a possibility of a completed piece of inexpensive software, 2) an opportunity to evaluate students for possible employment later, 3) exposure of in-house personnel to state-of-the-art development techniques, and 4) a sense of having contributed to software engineering education.

5. THE STUDENT PROJECTS

The student projects are conducted along the lines of a real life software development project, as though the students were programmers and analysts in a MIS department assigned to work on a user
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problem. In general, the students try to follow a slightly modified version of the software
development life cycle. In order to make the project a public, rather than private, process [Weinberg,
1971], the instructor places heavy emphasis on on-going communication with users through simple
graphical representations of the project at each step along the way.

Problem Assessment

The first phase of the student project is a "problem assessment phase". Here, the students and
the users attempt to define just what the problem is, come up with a preliminary analysis of the
structure of the problem, and agree to a graphical representation the problem. The definition of the
problem necessitates a period of intense interaction with the users, usually in the form of individual
interviews. The interviews are conducted at both managerial and supervisory levels, as the team
attempts to determine who are the key personnel and if the problem being addressed has political
overtones. All notes taken during the interview become part of the project documentation. The
results of the interview are discussed in class; other class members are asked to critique the interview
and to offer suggestions of interviewing strategies the team might follow.

During this phase, the team begins to develop a graphical representation of its understanding of the
problem in the form of a Leighton diagram [Scott, 1978], a HIPO Visual Table of Contents
[HIPO; Stoy, 1976], or a Structured Analysis Level I Data Flow diagram [Demarco, 1978]. The team
can choose the type of representation it wants - the criteria is that it must be simple, non-technical,
and not intimidating to the user. The graphical problem representation is presented to the user in a
design review. In this meeting, the users are asked to point out any parts of the problem which they
perceive to have a different structure than that developed by the designers. Although the users are
given no formal training in any of the above techniques, by the end of the design review they often
converse freely about the partitioning of the problem and parts of it which the students left out. We
believe that this early dialogue is essential in constructing a system which will reflect both the user's
needs and his perception of the problem structure, and which will also be adaptable as these needs
change in the future.

**Rapid Prototyping**

While dealing with the abstract nature of the problem assessment phase, the project teams and the users also focus on a tangible portion of the system about which both groups can converse - the system output. The students are encouraged to prepare layouts of all system reports and screens mentioned by the users. These layouts and screens are presented to the user in the form of a "mock-up", using values which would appear on the report if it had been generated by the operational system. Hard-copy reports are printed on a line printer; the students use a simple display program to present samples of interactive screens on a CRT terminal.

This simple form of "rapid prototyping" gives the teams and the users something tangible to discuss, yet the reports aren't "cast in concrete". In fact, the students are instructed to encourage the users to change the reports by using stock questions such as "Is this the report you requested?" "Would you like this report better if it were rearranged?" "Would other information be useful to you on this report?" Encouraging change at this point in the system serves two purposes. First, the prototypes are very easy to change - much easier to change than coded modules. Secondly, the process of making changes (and thereby participating in the development effort) seems to instill a sense of "ownership" of the system in the user group. To overcome the students' natural defensiveness when the users don't find their reports just letter perfect, the instructor and several students with work experience demonstrate in the classroom several scenarios of user changes at different points in the system development cycle.
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Project Planning

Once the student teams and the users have developed a basic understanding of the problem to be solved, the teams undergo a period of high-level project planning. Each team develops a Work Breakdown Structure (WBS) hierarchy of the activities foreseen for its project. This list of high-level milestones is developed by tailoring a standard software development WBS hierarchy (Appendix B). Students are asked to estimate the duration of each activity, as well as the resources required: student team involvement, user involvement, number of compilations, meeting areas, etc. The milestones are somewhat broad at this point, usually covering a duration of one week. During the project, more detailed activities are developed for a two-week planning horizon. The purpose of the initial planning exercise is to start the students thinking of the project as a whole, and not just concentrate on those activities to be performed next.

The teams are then asked to schedule their project activities and represent these schedules graphically, using PERT, CPM, or Gantt charts. The schedules are then formally presented to the users. Although most experienced users take the optimistic schedules with a grain of salt, the schedule charts (displayed in the computer science department student lounge) tend to give the projects a high visibility.

Requirements Analysis

During the planning phase, the student teams work primarily by themselves, except for the presentation of the schedules to the users. The next phase, however, again sees an extensive amount of communication between the students and the user groups. During this phase, a more detailed analysis of the problem is undertaken, using the highly graphical methodology called Structured Systems Analysis [De Marco, 1968]. The students represent the flow of data through the problem in data flow diagrams, refine the reports and screens the system is to produce, name all the data elements in the system, define required file structures, and document organizational policy through
Structured English Process Descriptions or decision tables. As each part of the system evolves from an intellectual concept into a more tangible form, the component is documented in a graphical form so that it can be discussed with the user group. This discussions take place in both informal work sessions and during more formal review presentations. As the documents which comprise the system requirements are completed, they are filed in the Project Notebook and kept on public display.

Software Architecture

After the system requirements have been documented and discussed with the users, each team then constructs a preliminary system module architecture. This process involves mapping the data flow diagrams into a module hierarchy [Pressman, 1982; Yourdon and Constantine, 1978] or developing the system structure concomitant with the information structures suggested by the system's data [Jackson, 1975]. The teams are free to choose the methodology they prefer - usually the teams go through several design iterations, often mixing methodologies to understand some part of a system better. During the design sessions, the teams are encouraged to represent the system component under consideration graphically. Once the preliminary design is complete and module functions have been identified, the preliminary design is presented to the users in an informal design review.

Final Deliverables

The final deliverables for the design portion of the project consist of the project notebook (Appendix C), a large module hierarchy chart, report and screen mockups, and a CPM chart showing the team's estimates and plans for next semester's effort. In lieu of a final exam, the students make a final, formal presentation to the user group, preferably at the user's site. Usually, the students put considerable effort into these presentations: they are well rehearsed, colored charts and overhead foils abound, and the students often sport new suits and haircuts. The purpose of this
final presentation is not so much evaluative as it is for both the students and the users to reach a sense of closure on the design portion of the project. In fact, the students are instructed to try to create an experience similar to an apparent underlying philosophy in several major motel chains - "No surprises!".

6. EXPERIENCES

The software engineering course sequence has been taught for four years at SMU, covering a wide variety of projects, student teams, and outside user groups. The fall class size is usually between twenty-five and thirty students. Currently, the class is involved in nine projects, ranging from a microcomputer-based weather monitoring station to a decision support system for an administrative office on the campus. Although each of these projects has presented a unique set of experiences (and problems), possibly some general observations on the course can be drawn.

Lectures versus Projects

During the first semester the design portion of the course was taught, the instructor tried to interleave the lecture material with the project effort - always trying to stay just ahead of what the teams would be doing next. This proved to be a frustrating experience, since each project moved at a different pace. Our current approach is to not assign the projects until we are at least one-third into the semester, using the first five or six weeks to concentrate on lectures. During the remainder of the semester, lectures are interspersed with project discussions and reviews. This is still somewhat frustrating for the students, who usually want to begin coding as soon as the semester starts. A better approach might involve adding a course in systems analysis and design as a prerequisite.
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Project Team Sizes

An interesting phenomenon is that the accomplishments of the team seem to be more related to how well the team works together, rather than the number of students on the team. Teams seem to function well when there are not more than four students on them, particularly if the students are of equal ability. The *prima donna* approach—surrounding one strong producer with team members of lesser abilities, doesn't seem to work well in this environment. Neither do large teams work well—large groups (over five individuals) tend to break into two or more factions who spend most of their time intellectualizing over the number of bits which can be placed on the head of an RS232 pin. Some of the most surprising project efforts have come from two-person teams, regardless of the size of the problem.

Project Notebook

During the teaching of this course, the project notebook (Appendix A) has become an indispensable tool. It serves to lift the software development effort to the level of being highly visible, although we are still quite a ways from a "public science" (Wienberg, 1971). The notebook has a standard set of forms which allow the students to "cookbook" their way through the first stages of requirements definition. It also contains the data flow diagrams, the data element descriptions, the file descriptions, and the module narratives. The project notebooks stay on display in the computer science department, where they can be inspected by other teams and students. During the design phase, the teams are periodically graded on how complete their project notebooks are. At the completion of the project, users are given a copy of the project notebook.
7. CONCLUSIONS

In general, the real life project experience works well. Considering the size and nature of the projects and the students' lack of experience, the resulting designs have been reasonably well structured, modular, and functional. The preliminary designs are usually overly ambitious. During the fall semester, the design teams tend to add functions to the system; during the spring semester, the implementation teams tend to delete functions. Seldom does the development reach the program product level [Brooks, 1975]; usually the students wind up implementing a bare-bones prototype of the version of the system which was designed.

Support for the course and the student projects remains strong in the local academic and business communities. Many students have been hired by the organizations for which they did a project. (In one case, however, a user manager informed us that he was so impressed by the students he wasn't going to make them any offers - he was afraid that they were so high-powered that they would find his type of application uninteresting.) At a minimum, satisfied users are asked to send each individual team member a letter acknowledging his or her accomplishments; these letters quickly find their way to the students' resumes. Both students and recruiters have indicated that the project experience seems to equip students with the software development patois necessary for effective peer level interviews on plant visits.

The course seems to be well accepted by the students, judging from their enthusiasm, the amount of effort put into the projects, and the high percentage of seniors who enroll for the optional spring semester course.

Hopefully, as we learn more about the process of developing software, courses such as this can produce professionals who experience software development projects not as protracted periods of exigence, but rather as intellectual challenges to be met with energy, excitement, and enthusiasm.
APPENDIX A

SOFTWARE ENGINEERING PRINCIPLES

COURSE OUTLINE

Module 1: Introduction
  Software Development Evolution
  The Software Crisis
  Software Life Cycle: An Introduction
  Software Development Teams: Job Titles and Responsibilities
  Software Life Cycle: A Second Look

Module 2: Project Organizing, Planning, and Estimating
  Work Breakdown Structures
  PERT/CPM Scheduling
  Estimating Lines of Code, Activity Durations, and Resources
  Project Monitoring Techniques

Module 3: Communicating with the User
  Interviewing Techniques
  Making Formal Presentations
  Structured Walkthroughs and Design Reviews
  Preparing the User Manual

Module 4: Defining the Problem
  High Level Problem Representation Techniques
  Layouts: Screens, Reports, and Files
  Establishing System Objectives

Module 5: Defining System Requirements
  Structured Systems Analysis
  Report Prototyping
  Data Dictionaries
  Structured English
  Decision Tables

Module 6: Designing Software Architectures
  Modularity and Functionality
  Coupling and Cohesion
  Representing the Design Graphically
  Data Flow Design Techniques
  Data Structure Design Techniques
  Module Descriptions

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Module 7: Signing Up

Project Descriptions
Project Selections
Selection of Team Leaders

Module 8: Working Sessions

Module 9: Final Presentations
APPENDIX B
WORK BREAKDOWN STRUCTURE
FOR
STANDARD PROJECT ACTIVITIES

S1 - PROJECT MANAGEMENT

S11 - Developing Project Work Breakdown Structure
S12 - Project Planning
S13 - Project Scheduling
S14 - Project Estimating
S15 - Completing Project Notebook Entries

S2 - PROBLEM ASSESSMENT

S21 - Meetings with user personnel to define problem
S22 - Meetings with user personnel to establish requirements
S23 - Drafting input, file, or output layouts
S24 - Team discussions of problem or requirements
S25 - Preparation of graphical problem representations
S27 - Presentation of problem representations to users

S3 - REQUIREMENTS ANALYSIS

S31 - User interviews to understand problem details
S32 - Preparation of data flow diagrams
S33 - Refinement of Reports, Screens, Files
S34 - Preparation of Data Dictionary entries
S35 - Preparation of data structure charts
S36 - Preparation of Structured English Process Descriptions
S37 - Preparation of Decision Tables
S38 - Presentation of problem structures to users
S39 - Preparation of User Manual (rough draft)

S4 - SOFTWARE ARCHITECTURE DESIGN

S41 - Constructing Module Hierarchy Chart
S42 - Preparing module function narratives
S43 - Preparation of module pseudo-code
S44 - Preparation of HIPO diagrams
S45 - Team meetings to discuss design
S46 - Design reviews with users

S5 - CODING

S51 - Module coding
S52 - Reading module code by other than writer
S53 - Entry of modules into computer
S54 - Module compilations
S55 - Structured Walkthroughs for code
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S6 - TESTING

S61 - Development of system test plan
S62 - Development of system test data
S63 - Development of acceptance test plan
S64 - Development of acceptance test data
S65 - System testing
S66 - Acceptance testing
S67 - Reviewing test results

S7 - IMPLEMENTATION

S71 - Development of conversion plan
S72 - Conversion Activities
S73 - User Manuals (Final form)
S74 - Prototype Implementation
S75 - Model Office
S76 - User Training
S77 - Parallel Runs

S8 - MAINTENANCE

S81 - Fixing design errors
S82 - Fixing coding errors
S83 - Enhancements to adapt to change in user's needs
S84 - Fine tuning for speed or efficiency
APPENDIX C

PROJECT NOTEBOOK CONTENTS

Section 1

Brief Problem Narrative
Graphical Problem Representation (Leighton, HIPO, or Data Flow)

Section 2

Project Description
System Description
User Statement of Objectives
User Organization Description
Key User Personnel

Section 3

System Input Requirements
System Output Requirements
System Processing Requirements

Section 4

Output Layouts
Input Layouts
File Layouts
Jackson Data Structure Charts for System I/O

Section 5

Leveled Data Flow Diagrams
Data Element Descriptions
Data Flow Descriptions
Data Structure Descriptions (Structured English)
Process Descriptions (Structured English)

Section 7

Software Architecture Charts
Module Functional Narratives
HIPO Charts
Module Pseudo-code

Appendix A

Project Work Breakdown Structure
Project PERT/CPM Chart
Weekly Planned Activity Report
Individual Student Time Cards

Appendix B

Contact Worksheets (Raw notes from interviews, meetings, design reviews, etc.)
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